

# Algorithms

# Analysis of Algorithms

## Goal:

- To analyze and compare algorithms in terms of *running time* and *memory requirements* (i.e. **time** and **space complexity**)
- Running time and space requirements change as we increase the input size  $n$

## **Input size** (number of elements in the input)

- size of an *array* or a *matrix*
- No of bits in the binary representation of the input
- vertices and/or edges in a graph, etc.

# Types of Analysis

- Worst case
  - Provides an **upper bound** on running time
  - An absolute **guarantee** that the algorithm would not run longer, no matter what the inputs are
- Best case
  - Provides a **lower bound** on running time
  - Input is the one for which the algorithm runs the fastest
- Average case
  - Provides a **prediction** about the running time
  - Assumes that the input is random

$$\text{Lower Bound} \leq \text{Running Time} \leq \text{Upper Bound}$$

# Computing the Running Time

High-level programming languages have statements which require a large number of low-level machine language instructions to execute (a function of the input size  $n$ ).

For example, a subroutine call can not be counted as one statement; it needs to be analyzed separately

- Associate a "**cost**" with each statement.  
Find the "total cost" by multiplying the cost with the total number of times each statement is executed.

# Example

<i>Algorithm X</i>	<i>Cost</i>
sum = 0;	$c_1$
for(i=0; i<N; i++)	$c_2$
for(j=0; j<N; j++)	$c_3$
sum += arrY[i][j];	$c_4$

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$$\text{Total Cost} = c_1 + c_2 * (N+1) + c_3 * N * (N+1) + c_4 * N^2$$

# Asymptotic Analysis

- To compare two algorithms with running times  $f(n)$  and  $g(n)$ , we need a **rough measure** that characterizes **how fast each function grows** with respect to  **$n$**
- In other words, we are interested in how they behave **asymptotically** (i.e. for large  $n$ ) (called rate of growth)

- **Big O notation:** asymptotic “less than” or “at most”:

$$f(n)=O(g(n)) \text{ implies: } f(n) \text{ “}\leq\text{” } g(n)$$

- **$\Omega$  notation:** asymptotic “greater than” or “at least”:

$$f(n)=\Omega(g(n)) \text{ implies: } f(n) \text{ “}\geq\text{” } g(n)$$

- **$\Theta$  notation:** asymptotic “equality” or “exactly”:

$$f(n)=\Theta(g(n)) \text{ implies: } f(n) \text{ “}=\text{” } g(n)$$

# Big-O Notation

- We say

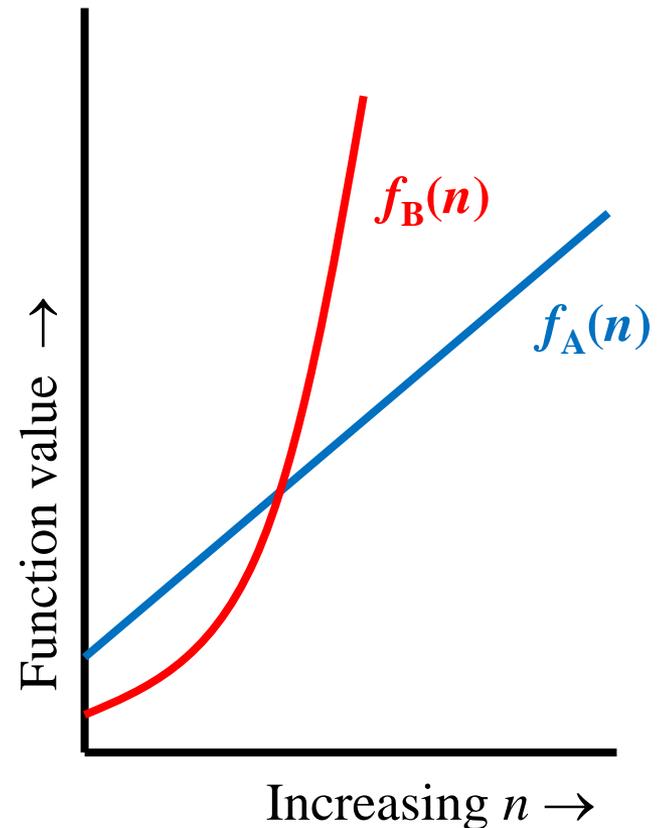
$f_A(n) = 7n+18$  is **order  $n$** , or  **$O(n)$**

It is, at most, roughly *proportional* to  $n$ .

$f_B(n) = 3n^2+5n+4$  is **order  $n^2$** , or  **$O(n^2)$** .

It is, at most, roughly proportional to  $n^2$ .

- In general, any  $O(n^2)$  function is faster-growing than any  $O(n)$  function.



# More Examples ...

- $n^4 + 100n^2 + 10n + 50 \rightarrow O(n^4)$   
 $10n^3 + 2n^2 \rightarrow O(n^3)$   
 $n^3 - n^2 \rightarrow O(n^3)$
- constants  
    10 is  $O(1)$   
    1273 is  $O(1)$
- what is the rate of growth for *Algorithm X* studied earlier (in Big O notation)?

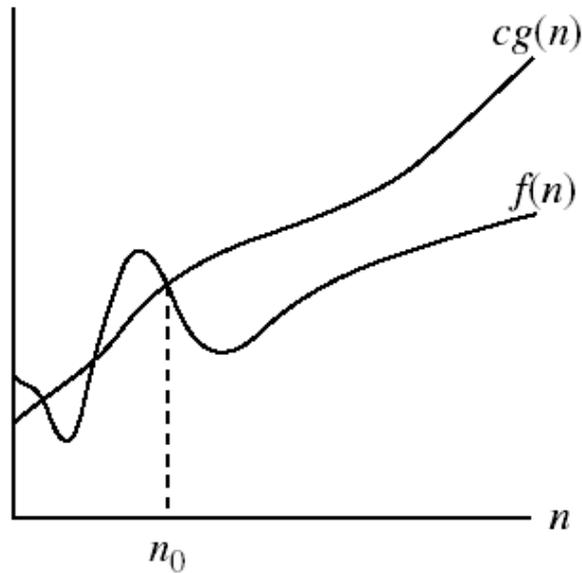
$$\text{Total Time} = c_1 + c_2 * (N+1) + c_2 * N * (N+1) + c_3 * N^2$$

If  $c_1, c_2, c_3$ , and  $c_4$  are constants then **Total Time =  $O(N^2)$**

# Definition of Big O

- *O-notation*

$O(g(n)) = \{f(n) : \text{there exist positive constants } c \text{ and } n_0 \text{ such that } 0 \leq f(n) \leq cg(n) \text{ for all } n \geq n_0\}.$



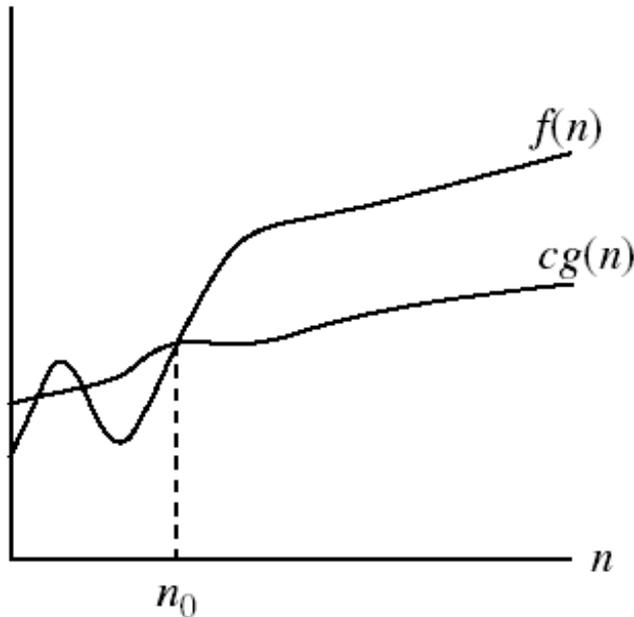
$g(n)$  is an *asymptotic upper bound* for  $f(n)$ .

# Definition of Small o

- commonly written as  $o$ , is an Asymptotic Notation to denote the upper bound (that is not asymptotically tight) on the growth rate of runtime of an algorithm.
- $f(n)$  is  $o(g(n))$ , if for all real constants  $c$  ( $c > 0$ ) and  $n_0$  ( $n_0 > 0$ ),  $f(n)$  is  $< c g(n)$  for every input size  $n$  ( $n > n_0$ ).
- The definitions of  $O$ -notation and  $o$ -notation are similar. The main difference is that in  $f(n) = O(g(n))$ , the bound  $f(n) \leq c g(n)$  holds for some constant  $c > 0$ , but in  $f(n) = o(g(n))$ , the bound  $f(n) < c g(n)$  holds for all constants  $c > 0$ .

# Definition of $\Omega$

$\Omega(g(n)) = \{f(n) : \text{there exist positive constants } c \text{ and } n_0 \text{ such that } 0 \leq cg(n) \leq f(n) \text{ for all } n \geq n_0\}$  .



$\Omega(g(n))$  is the set of functions with larger or same order of growth as  $g(n)$

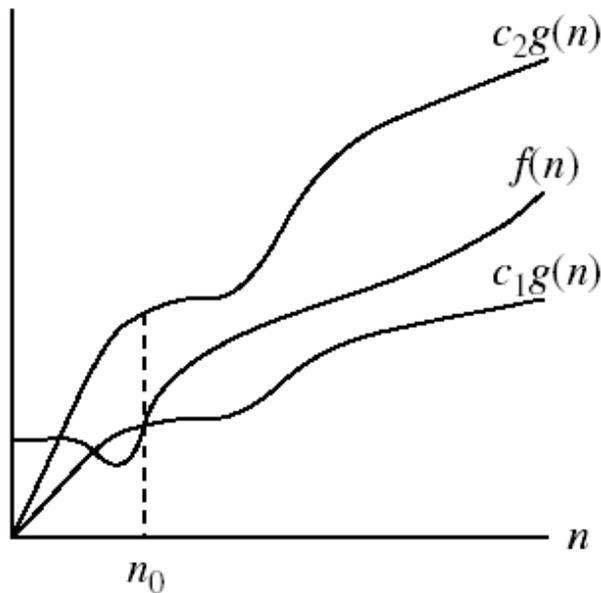
$g(n)$  is an *asymptotic lower bound* for  $f(n)$ .

# Small-omega

- Small-omega, commonly written as  $\omega$ , is an Asymptotic Notation to denote the lower bound (that is not asymptotically tight) on the growth rate of runtime of an algorithm.
- $f(n)$  is  $\omega(g(n))$ , if for all real constants  $c$  ( $c > 0$ ) and  $n_0$  ( $n_0 > 0$ ),  $f(n)$  is  $> c g(n)$  for every input size  $n$  ( $n > n_0$ ).
- The definitions of  $\Omega$ -notation and  $\omega$ -notation are similar. The main difference is that in  $f(n) = \Omega(g(n))$ , the bound  $f(n) \geq g(n)$  holds for some constant  $c > 0$ , but in  $f(n) = \omega(g(n))$ , the bound  $f(n) > c g(n)$  holds for all constants  $c > 0$ .

# Definition of $\Theta$

$\Theta(g(n)) = \{f(n) : \text{there exist positive constants } c_1, c_2, \text{ and } n_0 \text{ such that } 0 \leq c_1g(n) \leq f(n) \leq c_2g(n) \text{ for all } n \geq n_0\}$ .

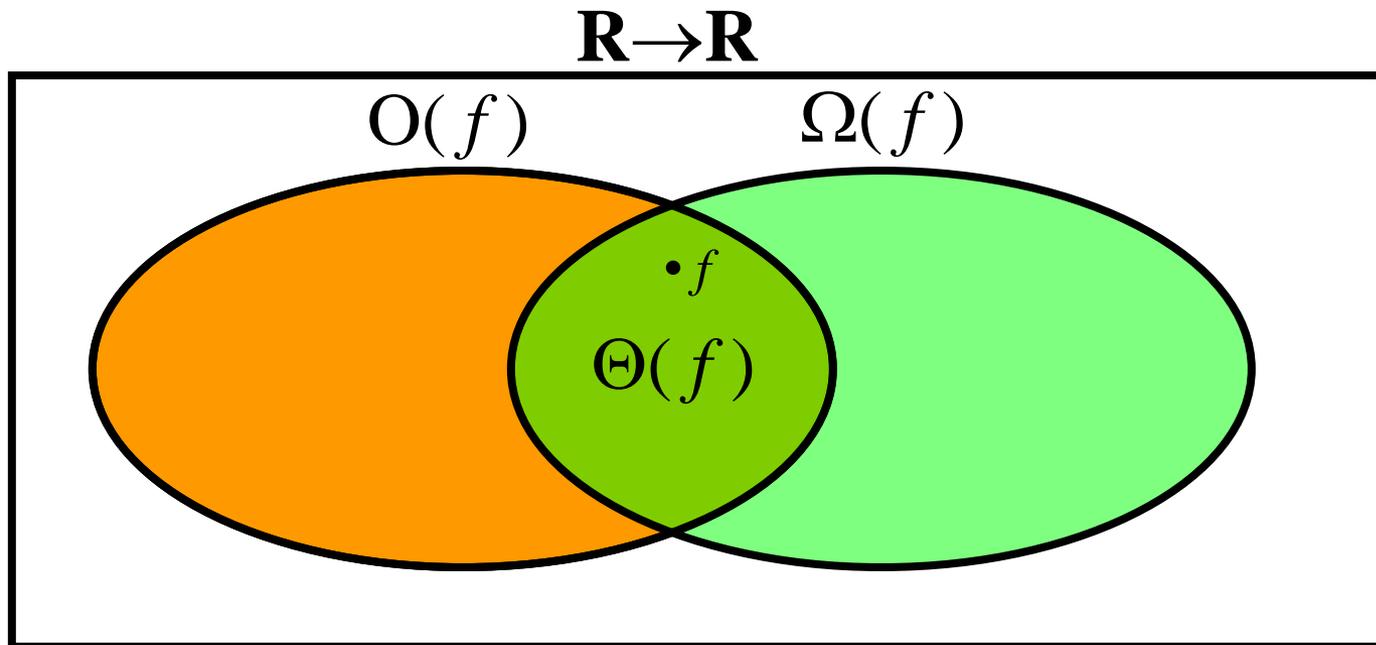


$\Theta(g(n))$  is the set of functions with the same order of growth as  $g(n)$

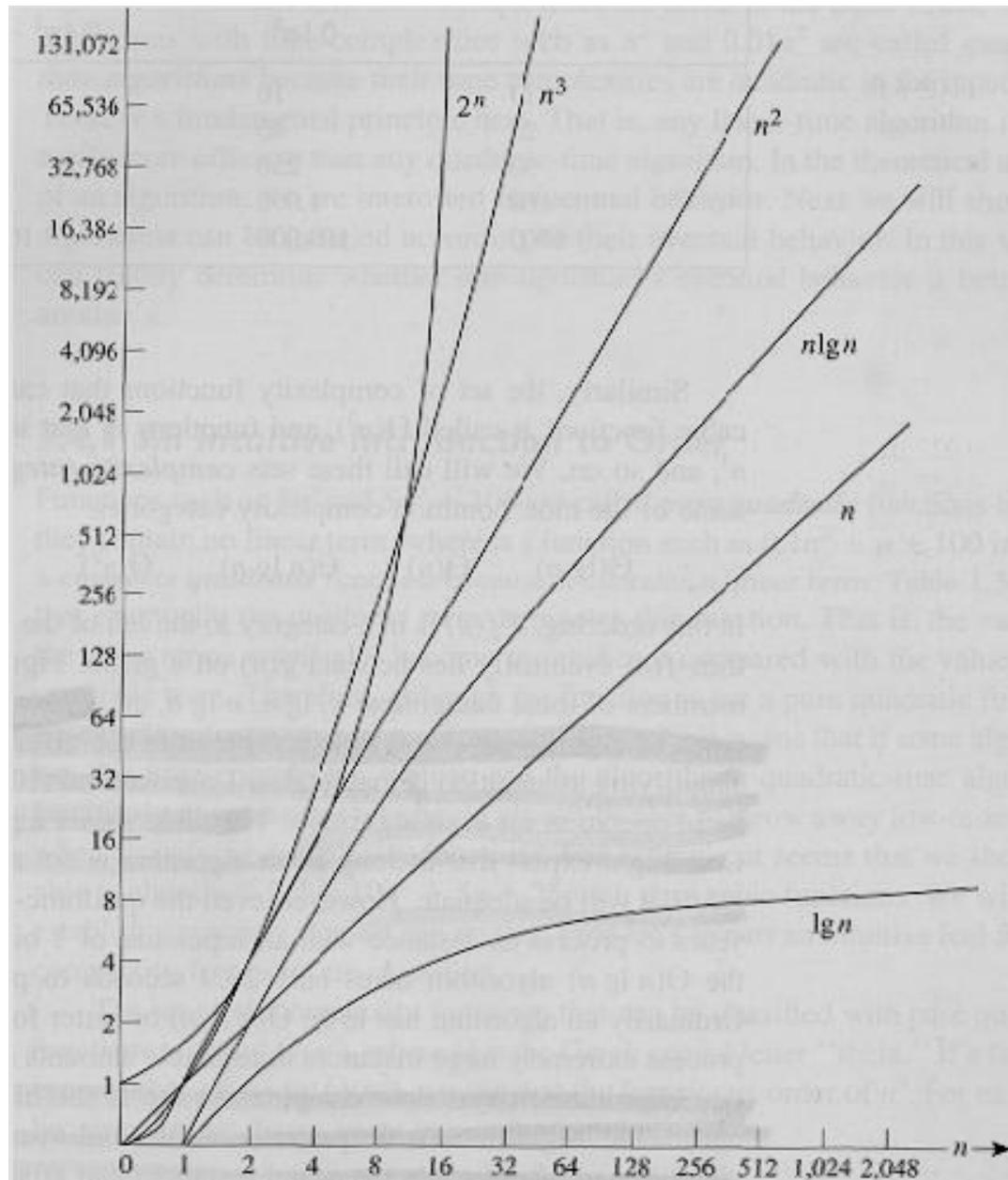
$g(n)$  is an *asymptotically tight bound* for  $f(n)$ .

# Relations Between Different Sets

- Subset relations between order-of-growth sets.



# Common orders of magnitude



# Properties

*Theorem:*

$$f(n) = \Theta(g(n)) \Leftrightarrow f = O(g(n)) \text{ and } f = \Omega(g(n))$$

- **Transitivity:**
  - $f(n) = \Theta(g(n))$  and  $g(n) = \Theta(h(n)) \Rightarrow f(n) = \Theta(h(n))$
  - Same for  $O$  and  $\Omega$
- **Reflexivity:**
  - $f(n) = \Theta(f(n))$
  - Same for  $O$  and  $\Omega$
- **Symmetry:**
  - $f(n) = \Theta(g(n))$  if and only if  $g(n) = \Theta(f(n))$
- **Transpose symmetry:**
  - $f(n) = O(g(n))$  if and only if  $g(n) = \Omega(f(n))$

# Complexity Analysis

<b>Algorithm</b>	<b>Data structure</b>	<b>Time complexity: Best</b>	<b>Time complexity: Average</b>	<b>Time complexity: Worst</b>	<b>Space complexity: Worst</b>
Quick sort	Array	$O(n \log(n))$	$O(n \log(n))$	$O(n^2)$	$O(n)$
Merge sort	Array	$O(n \log(n))$	$O(n \log(n))$	$O(n \log(n))$	$O(n)$
Heap sort	Array	$O(n \log(n))$	$O(n \log(n))$	$O(n \log(n))$	$O(1)$
Bubble sort	Array	$O(n)$	$O(n^2)$	$O(n^2)$	$O(1)$
Insertion sort	Array	$O(n)$	$O(n^2)$	$O(n^2)$	$O(1)$
Selection sort	Array	$O(n^2)$	$O(n^2)$	$O(n^2)$	$O(1)$